

06-30-00

A Box Seq

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
P-4948Total Pages in this Submission
70**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

PEPTIDES FOR USE IN CULTURE MEDIA

and invented by:

Perry D. Haaland, Douglas B. Sherman, Robert L. Campbell, Walter William Stewart, Sheila A. Lloyd, Bruce Wayne Erickson, deceased, by Ann Hart Erickson, Legal Representative

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 41 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☐ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☐ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☐ Formal Number of Sheets _____
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☒ Newly executed *(original or copy)* ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☒ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☒ Paper Copy
- b. ☒ Computer Readable Copy *(identical to computer copy)*
- c. ☒ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail *(Specify Label No.):* EJ118372416US

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Acc mpanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☒ Additional Enclosures (please identify below):

Letters Testamentary In the Matter of the Estate of Bruce Wayne Erickson

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	30	- 20 =	10	x \$18.00	\$180.00
Indep. Claims	3	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose) Assignment					\$80.00
TOTAL FILING FEE					\$950.00

- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1666 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$950.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Donna R. Fugit
Signature

Dated: June 29, 2000

Donna R. Fugit, Ph.D.
Agent for Applicants
Reg. No. 32,135
Becton, Dickinson and Company
1 Becton Drive
Franklin Lakes, New Jersey 07417
201-847-7166

cc:

PATENT

Docket No. P-4948

HB
LB
9/6/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Perry D. Haaland et al.

SERIAL NO.: To be assigned

GROUP:

FILING DATE: June 28, 2000

EXAMINER:

FOR: PEPTIDES FOR USE IN CULTURE MEDIA

jc857 U.S. PTO
09/608892
06/30/00

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

STATEMENT UNDER 37 CFR §821(f)

The information recorded in computer readable form on the diskette submitted with the subject application is identical to the written sequence listing of the application.

Respectfully submitted,

Donna R. Fugit

Donna R. Fugit, Ph.D.
Agent for Applicant(s)
Registration No. 32,135

Becton, Dickinson and Company
1 Becton Drive
Franklin Lakes, NJ 07417
(201) 847-7166
(201) 848-9228
June 28, 2000

Express Mail No. EJ118372416US

STATE OF NORTH CAROLINA

File No.

98-E-534

In The General Court Of Justice
Superior Court Division
Before the Clerk

ORANGE County

IN THE MATTER OF THE ESTATE OF:

Name

BRUCE WAYNE ERICKSON

LETTERS
TESTAMENTARY

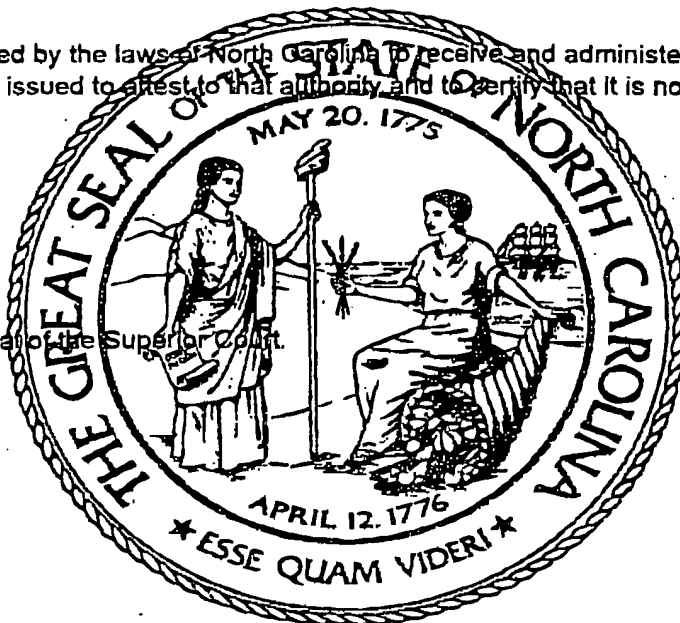
G.S. 28A-6

The Court in the exercise of its jurisdiction of the probate of wills and the administration of estates, and upon application of the fiduciary, has adjudged legally sufficient the qualification of the fiduciary named below and orders that Letters be issued in the above estate.

jc857 U.S. PTO
09/608892
06/30/00

The fiduciary is fully authorized by the laws of North Carolina to receive and administer all of the assets belonging to the estate, and these Letters are issued to attest to that authority and to certify that it is now in full force and effect.

Witness my hand and the Seal of the Superior Court.



Name And Title Of Fiduciary 1

ANN HART ERICKSON, EXECUTOR

Address

1703 AUDUBON RD.

City, State, Zip

CHAPEL HILL, NC. 27514

Name And Title Of Fiduciary 2

Address

City, State, Zip

Date Of Qualification

DECEMBER 3, 1998

Clerk Of Superior Court

JOAN TERRY

EX OFFICIO JUDGE OF PROBATE

Date Of Issuance

DECEMBER 3, 1998

Signature

Joanne Brown

☒ Deputy CSC

☐ Assistant CSC

SEAL

AOC-E-403

Rev. 5/96

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